



Date: 02.09.2022

To,
BSE Limited
P. J. Towers, 1st Floor
Dalal Street, Mumbai - 400001

To,
The Calcutta Stock Exchange Limited
Corporate Relationship Department
7, Lyons Range, Kolkata-700001

Sub: SDD compliance certificate for the quarter ended on June .30. 2022

Dear Sir,
Pursuant to regulation 3(5) and 3(6) of SEBI (Prohibition of insider trading) regulation 2015,
Please find enclosed herewith the SDD Compliance certificate for the quarter ended on
30.06.2022.

Kindly take the same on record

Thanking You,
Yours faithfully,
For M/s Rajputana investment & Finance Limited

Villadath Vinitha
Company Secretary & Compliance officer



Rajputana Investment & Finance Ltd

Reg. Address: Flat No. 601, 6th Floor, Nanda Tower, 90, Phears Lane, Kolkata - 700 012

Corporate Office Address: 8/82/3, BRD Complex, Kozhikode Road, Parempadam
Kunnamkulam Via, Thrissur Dist. Kerala-680 519

CIN: L50100WB1941PLC010731 | Email Id: info@rajputanainvestment.com

Website: www.rajputanainvestment.com | Ph No: 91+ 82320 27550



**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)**

I, Villadath Vinitha, Compliance Officer, have examined the following compliance requirement of Rajputana Investment & Finance Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1	Whether the Company has a Structured Digital Database in place?	Yes	
2	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	Yes	
3	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	Yes	
4	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	
5	Whether nature of UPSI have been captured alongwith date and time?	Yes	
6	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	

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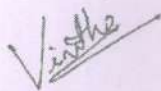
7	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	
8	Whether the database has been maintained internally?	Yes	
9	Whether audit trail is maintained?	Yes	
10	Whether time stamping is maintained?	Yes	
11	Whether the database is non-tamperable?	Yes	
12	Any other measures to ensure non-tamperability of the Database?	Yes	Restricted access via user id and password

Note: The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.

The number of days for which non-compliance was observed: nil

Further I also confirm that the Company was required to capture 6 numbers of events during the quarter/half year ended and has captured 6 numbers of the said required events.

For M/s Rajputana investment & Finance Limited



Villadath Vinita
Company Secretary & Compliance officer



Date: 01.09.2022

Place: Thrissur

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.

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